

PATENT APPLICATION COVER SHEET

Docket 57472/3

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR(S): FABIAN, FrankFOR: LOUDSPEAKER

Enclosed are:

☒ 8 sheet(s) of ☒ formal or ☐ informal drawings.☒ An assignment of the invention to: The Canadian Loudspeaker Corporation☒ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.☒ Information Disclosure Statement.☒ Executed (☐ Un-executed) Declaration and Power of Attorney.☐ Other: _____☒ The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	No. Filed	No. Extra
BASIC FEE		
TOTAL CLAIMS	47 -20=	27
INDEPENDENT CLAIMS	5 -3=	2
MULTIPLE DEPENDENT CLAIMS PRESENTED		

If the difference in Col. 1 is less than
zero, enter "0" in Col. 2

SMALL ENTITY	
RATE	FEE
	\$380
x9	\$243
x39	\$78
+130	\$
TOTAL	\$701.00

OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$760
x18	\$
x78	\$
+260	\$
TOTAL	

☐ Please charge Deposit Account No. 02-2553 in the amount of \$ _____.☒ A check in the amount of \$741.00 to cover the filing fee of \$701.00 and the recordal of an Assignment of \$40.00 is enclosed.☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2553.

Any additional fees required under 37 C.F.R. § 1.16

Any patent application processing fees under 37 C.F.R. § 1.17

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 02-2553.

Any patent application processing fees under 37 C.F.R. § 1.17.

Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

BLAKE, CASSELS & GRAYDON

Date: April 28, 1999By: [Signature]Registration No. 40,460

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b)) — INDEPENDENT INVENTOR

Applicant or Patentee: Frank W. Fabian
Attorney's Docket No.: 57472/3
Serial or Patent No.: _____
Filed or Issued: Filed Contemporaneously Herewith
Invention: LOUDSPEAKER

As the below named inventor, I hereby declare that I qualify as independent inventor as defined in 37 CFR 1.9(c) for the purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled LOUDSPEAKER described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as a small business concern under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).


Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.
☒ Each person, concern or organization listed below.
The Canadian Loudspeaker Corporation
1578 Eglinton Avenue West, Toronto, Ontario, M6E 2G8, Canada

Separate verified statements are required from each named person, concerns or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Frank W. FABIAN
NAME OF INVENTOR

SIGNATURE OF INVENTOR
APR 16 27, 1999
DATE

NAME OF INVENTOR
SIGNATURE OF INVENTOR
DATE

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) — SMALL BUSINESS CONCERN

Applicant or Patentee: Frank W. FABIAN

Attorney's Docket No.: 57472/3

Serial or Patent No.: _____

Filed or Issued: _____

Title: LOUDSPEAKER

I hereby declare that I am:

- ☒ the owner of the small business concern identified below.
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN: THE CANADIAN LOUDSPEAKER CORPORATION
1578 Eglinton Ave. W., Toronto, Ontario, M6E 2G8, Canada

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each person, concern or organization is listed below.

Separate verified statements are required from each named person, concerns or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Frank W. FABIAN

TITLE OF PERSON IF OTHER THAN OWNER: President

ADDRESS OF PERSON SIGNING: 309 Robina Avenue, Toronto, Ontario, Canada M6C 3Z2

SIGNATURE: 